Optimal Wealth Management Platform

Junction Partners
Portfolio Management-Compliance-Trading-Execution-Technology

Account Opening & Documentation

- Prospecting
- Client Enrollment
- Risk Profiling
- Compliance (client mandates)
- Model identification

Analysis- Planning & Proposal Tools

- Client Positions view
- Select program/product options
- Access investor's risk tolerance via risk questionnaire
- Real time valuation
- Analysis
- Risk/Var
- Proposal generation

Construction

- Asset allocation
- Portfolio Modeling/Optimization
- Portfolio Rebalancing
- Goal Management
- Tax Harvesting
- Proposal Generation
- Ability to automatically block trades and prep for execution

Trade Execution [MORCOM]

- Trade Execution
- PreTrade Compliance
- Track Orders

Fee Billing & Maintenance (TBD)

- Performance measurement
- Event monitoring/Alert
- Restrictions
- Fee/Billing schedules
- Shareholder service fees
- Handles 12B-1 rebates
- Handle in arrears or advance
- Automatically calculates manager fee, advisor fee & custodian fee

Reporting (TBD)

- Client reporting
- Performance measurement
- Tax reporting
- Householding
- Foreign currency
- Confirmations
- Drift reports