



Capabilities Statement

This document includes data that shall not be disclosed outside the Government and shall not be duplicated, used, or disclosed, in whole or in part, for any purpose other than to evaluate **Junction Partners LLC**. However, if a contract is awarded to Junction Partners as a result of--or in connection with—the submission of these data, the Government shall have the right to duplicate, use, or disclose the data to the extent provided in the resulting contract. This restriction does not limit the Government's right to use information contained in these data, if they are obtained from another source without restriction. The data subject to this restriction are contained in all pages herein.

Junction Partners LLC

**182 Forest Drive
Jericho, NY 11753**

Certified Small Business

GSA Schedule FABS Contract # GS-23F-0076W

EIN# 30-0163555

DUNS: 144194706

Cage Code: 594B6

Contact:

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Introduction

Junction Partners is a premier consulting company focusing on the project management and implementation of Portfolio Management Risk, Trading, Execution, Compliance and Reporting applications. We currently hold a GSA schedule FABS contract.

We work with some of the best vendors on the buy side in implementing their systems. Our consultants average more than 15 years each on Project Management, Systems Analysis, Vendor Selection, Implementation and Workflow analysis and design. Junction Partners has relationships with some of the leading investment management firms and service providers to create impactful and effective solutions to today's complex business problems. Our sole focus is assessing, designing, and delivering results-oriented operations and technology change across the entire investment management process.

Clients include:

- HSBC Asset Management Canada
- JP Morgan
- Deutsche Bank
- United Nations Joint Staff Pension Fund
- Incognition LLC
- Neovest Inc
- Mellon Capital Management

Who We Are

Junction Partners are the Order Management Experts. We are a consulting group possessing a wide variety of expertise in project management, business analysis, and technology integration specifically in the Order Management System space. Our specialties include:

- Strategic Systems Planning
- Systems & Operations Reviews and Recommendations
- Systems Evaluations and Selection

- Systems Implementation & Management
- Forensics

Junction Partners provides its clients with the right solutions through a number of key attributes:

- Flexible, Common Sense approach – At Junction Partners we provide well-researched and practical approaches to each client’s needs. Our consultants are committed to the provision of advice that is clear and straightforward.
- Expertise – All of our consultants have expert knowledge of the investment management process. This is combined with the ability to conduct relevant research and analysis at any level of an organization.
- In-depth experience –Over the years, we have worked on projects covering every part of the investment management process. Our professional consultants average 15 years experience within the world’s leading financial institutions and technology vendors. Whatever your particular problem, it is almost certain that we have resolved the same or a similar issue elsewhere before.
- Independence – Junction Partners is independent of individual suppliers. We proactively maintain files on all mainstream products available to the investment management industry. This gives our clients an immediate and objective view of the possible system options available.
- Fast track – By drawing on our library of information and template documents we are able to fast track our clients through the various stages of a project.
- Standards – All of our consultants understand what clients expect by way of service and commitment. It is only by living up to these expectations that Junction Partners has gained its reputation.

Company History

Junction Partners, established in 2006, is a small New York City based business. We are a Disadvantaged Business Enterprise (DBE) and Veteran owned company, and Certified with the Minority Business Development Agency, New York & New Jersey Minority Business, New York City Minority Business and NY State Minority Owned Business.

Quality Control Management

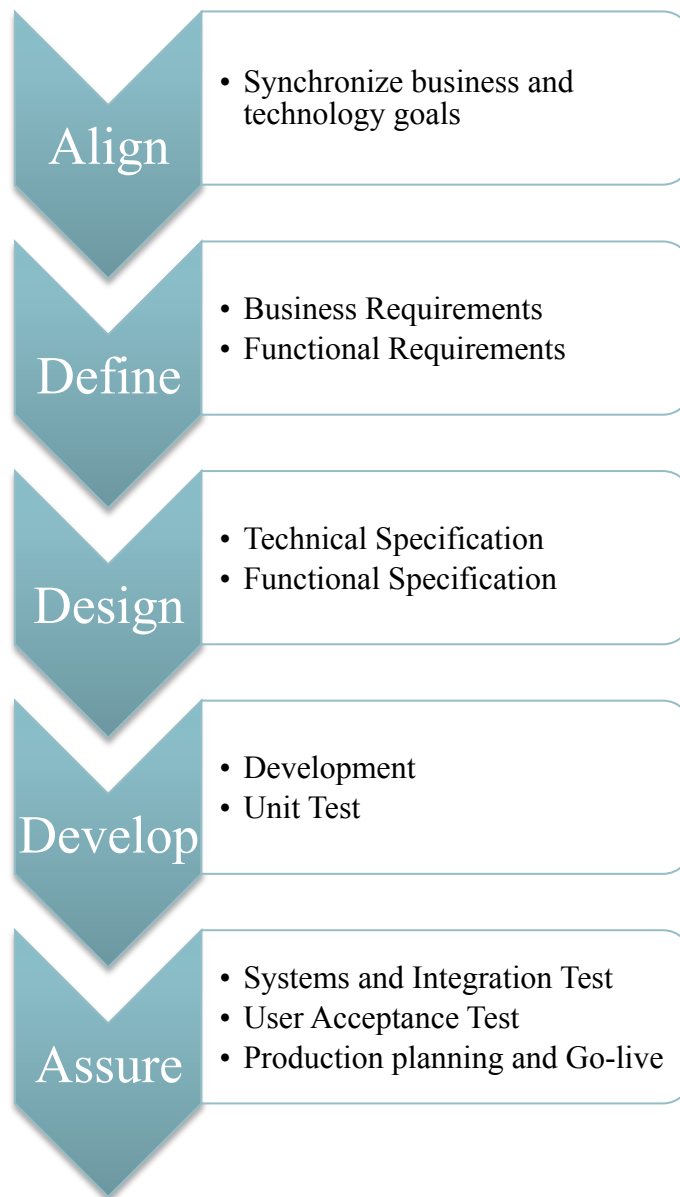
- Junction Partners Quality Control (QC) plan addresses measurement, assessment, management, and reporting techniques, and the means of conducting periodic and event-driven Quality Assurance (QA) Reviews.
- Junction Partners is committed to establishing a Quality Assurance (QA) capability on projects that will provide the client and its end users with a supporting project team built upon industry best practices. Our QC plan ensures our adherence to metrics, standards, and plans, which allow clients to track Junction Partners project performance. The QC Plan includes proposed surveillance methods and a schedule that will serve as the basis for developing the final QC plan after contract award.
- Junction Partners shall establish and maintain a system that tracks the status and progress of client-identified and contractor-identified, system-wide quality issues, and provides reports to the client. This system will identify problems by severity, area of responsibility, assignment for solution, proposed solution, due date, and summary status (e.g. Open, Closed, Deferred, Being Implemented, etc.)
- A management committee consisting of the client QA Point of Contact (POC), and Junction Partners QA Manager and Project Manager will review the problem reports. This committee shall make decisions relating to the disposition of quality problems, and is responsible for providing client with a monthly summary of problem status
- A well-defined set of Standard Operating Procedures (SOP) is the basis for managing and delivering high-quality services. The QA Manager will develop a QA SOP manual, which will outline for all project team members the expected quality levels for all project activities. Each Team Lead will be responsible for incorporating the applicable elements of the QA SOP into the individual SOP for his/her team's area of support. The QA Manager will ensure that all project staff members are trained in the appropriate QA policies and procedures, and will routinely examine each SOP for completeness, effectiveness, and implementation of all changes/improvements.

- If Junction Partners uses subcontractors, they will be expected to adhere to the same quality controls standards and processes employed by Junction Partners without exception.

Areas of Expertise and Services



Project Management Methodology



Company Data

Junction Partners is a Veteran owned and Minority owned business located in New York. We are registered in the CCR. The company holds a GSA Schedule FABS contract.

Accept Credit and Purchase Cards: Yes

Principal Name: Kevin Fernandez, Partner-President

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Address 2: 228 Park Ave South #34695, New York, NY 10003

Phone: Main Office: 646-233-4015

Email: kfernandez@junctionpartners.net

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Our labor categories include: Executive Program Manager, Senior Project Manager, Project Manager, Manager, Senior Consultant/Business Analyst and Administrative Support.

By driving thought leadership through industry best practices, we help you achieve complete balance between your strategy, operating model, technology resources and execution strategy. Our service and products help you focus on the bottom line – getting successful results.

Thank you for reviewing our capabilities to support you upcoming requirements.

Best Regards,

Kevin Fernandez

Partner & President

Junction Partners LLC